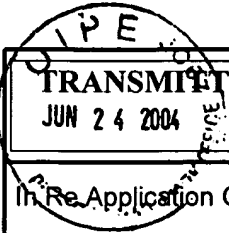


IFW



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114104-009

In Re Application Of: **Markus Rothkranz et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/661,685	September 11, 2003	Unknown	29159	3713	

Title: **GAMING DEVICE HAVING A MECHANICAL SECONDARY DISPLAY**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

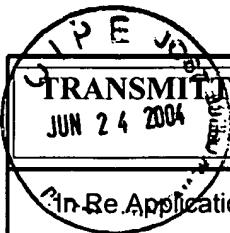
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

JUN 24 2004

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

114104-009

In Re Application: Markus Rothkranz et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/661,685	September 11, 2003	Unknown	29159	3713	

GAMING DEVICE HAVING A MECHANICAL SECONDARY DISPLAY**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on June 22, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: June 22, 2004

Adam H. Masia (Reg. No. 35,602)

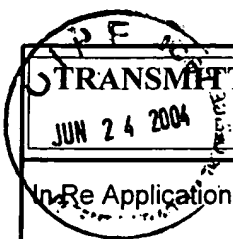
Bell, Boyd & Lloyd LLC

P.O. Box 1135

Chicago, IL 60690-1135

Phone: 312-807-4284

CC:



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
114104-009

In Re Application Of: **Markus Rothkranz et al.**

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☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Markus Rothkranz et al.
Appl. No.: 10/661,685
Conf. No.: 7354
Filed: September 11, 2003
Title: GAMING DEVICE HAVING A MECHANICAL SECONDARY DISPLAY
Art Unit: 3713
Examiner: Unknown
Docket No.: 114104-009

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
Des. 400,597	November 3, 1998	Hedrick et al.
Des. 402,702	December 15 1998	Seelig et al.
Des. 406,865	March 16, 1999	Heidel
Des. 441,031 S	April 24, 2001	Seelig et al.
Des. 443,313 S	June 5, 2001	Brettschneider
Des. 465,531 S	November 12, 2002	Luciano, Jr. et al.
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4,410,178	October 18, 1983	Partridge
4,695,053	September 22, 1987	Vazquez, Jr. et al.
4,732,386	March 22, 1988	Rayfiel
5,152,529	October 6, 1992	Okada
5,364,100	November 15, 1994	Ludlow et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,395,111	March 7, 1995	Inoue
5,449,173	September 12, 1995	Thomas et al.
5,560,603	October 1, 1996	Seelig et al.
5,584,763	December 17, 1996	Kelly et al.
5,664,998	September 9, 1997	Seelig et al.
5,823,872	October 20, 1998	Prather et al.
5,823,874	October 20, 1998	Adams et al.
5,848,932	December 15, 1998	Adams
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams et al.
5,927,714	July 27, 1999	Kaplan
5,976,015	November 2, 1999	Seelig et al.
6,004,207	December 21, 1999	Wilson, Jr. et al.
6,059,658	May 9, 2000	Mangano et al.
6,086,066	July 11, 2000	Takeuchi et al.
6,089,977	July 18, 2000	Bennett
6,089,978	July 18, 2000	Adams et al.
6,105,962	August 22, 2000	Malavazos et al.
6,113,098	September 5, 2000	Adams
6,142,873	November 7, 2000	Weiss et al.
6,142,874	November 7, 2000	Kodachi et al.
6,159,098	December 12, 2000	Slomiany et al.
6,162,121	December 19, 2000	Morro et al.
6,173,955 B1	January 16, 2001	Perrie et al.
6,203,429 B1	March 20, 2001	Demar et al.
6,213,876 B1	April 10, 2001	Moore Jr.
6,220,593 B1	April 24, 2001	Pierce et al.
6,224,483 B1	May 1, 2001	Mayeroff
6,227,970 B1	May 8, 2001	Shimizu et al.
6,251,013 B1	June 26, 2001	Bennett
6,254,481 B1	July 3, 2001	Jaffe
6,260,296 B1	July 17, 2001	Carney, Jr.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,267,669 B1	July 31, 2001	Luciano Jr. et al.
6,270,411 B1	August 7, 2001	Gura et al.
6,302,790 B1	October 16, 2001	Brossard
6,305,686 B1	October 23, 2001	Perrie et al.
6,315,663 B1	November 13, 2001	Sakamoto
6,336,863 B1	January 8, 2002	Baerlocher et al.
6,340,158 B2	January 22, 2002	Pierce et al.
6,368,216 B1	April 9, 2002	Hedrick et al.
6,386,974 B1	May 14, 2002	Adams
6,398,220 B1	June 4, 2002	Inoue
6,419,579 B1	July 16, 2002	Bennett et al.
6,461,241 B1	October 8, 2002	Webb et al.
6,481,713 B2	November 19, 2002	Perrie et al.
6,533,660 B2	March 18, 2003	Seelig et al.
6,537,152 B2	March 25, 2003	Seelig et al.
6,582,307 B2	June 24, 2003	Webb
6,609,972 B2	August 26, 2003	Seelig et al.

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<u>Document No.</u>	<u>Date</u>	<u>Country</u>
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GB 2383668 A	November 13, 2001	United Kingdom
GB 371 199 A	April 21, 1932	United Kingdom
GB 762 611 A	November 28, 1956	United Kingdom
DE 38 04 862 A	October 20, 1988	Germany
DE 38 11 301 A	October 19, 1989	Germany
DE 44 02 800 A	August 3, 1995	Germany
JP 08 160893 A	June 21, 1996	Japan
Abstract		
JP 08 215404 A	August 27, 1996	Japan
Abstract		
JP 10 328399 A	December 15, 1998	Japan
Abstract		

OTHER DOCUMENTS

4DU Dice Unit advertisement written by starpoint.uk.com, printed on September 3, 2002.

American Bandstand advertisement written by Anchor Games, published in 2001.

Big Shot!™ advertisement published by Aristocrat Technologies, Inc., published in 2002.

Big Top Keno advertisement published by Aristocrat Technologies, Inc., published in 2000.

Bonus Roulette advertisement written by R. Franco, published before September 11, 2003.

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Classic Pot of Gold advertisement written by Ace Coin Equipment Ltd., published before September 11, 2003.

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Elvis Hits advertisement written by IGT, published in 1999.

Holy Smoke advertisement written by Impulse Gaming Ltd., published before September 11, 2003.

Jack and the Beanstalk™ advertisement written by AC Coin & Slot, published before September 11, 2003.

King of the Grill™ advertisement written by AC Coin & Slot, published before September 11, 2003.

Line-Up advertisement written by AC Coin & Slot, published before September 11, 2003.

Little Green Men Jr.™ advertisement written by AC Coin & Slot, published before September 11, 2003.

Little Green Men Jr.™ article written by Strictly Slots, published in February 2003.

Mega Jackpots news article, published before September 11, 2003.

Miss America advertisement written by AC Coin & Slot, published before September 11, 2003.

Mix and Match article written by Strictly Slots, published in April 2002.

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Party Time Psycho Cash Beast advertisement written by IGT, published in 1999.

Payout!™ advertisement written by
www.csds.com/Gaming/Products/g_Payout.htm, printed on January 15, 2001.

Payout!™ article written by Casino Data Systems, published before September 11, 2003.

Pick a Prize advertisement written by Acres Gaming Incorporated, published prior 2001.

Power Slotto advertisement published by AC Coin & Slot prior to 2002.

Press Your Luck advertisement published by AC Coin & Slot prior to 2002.

Quick Pick Paytime advertisement written by Acres Gaming Incorporated, published prior to 2001.

R&B™ advertisement published by AC Coin & Slot, published before September 11, 2003.

Reel Dice advertisement written by Gerber & Glass, published in 1936.

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Slot Machines on Parade, 1st edition written by Robert N. Geddes and illustrated by Daniel R. Mead, published in 1980.

Slots 2003 Part One article written by Melissa Raimondi, published by Strictly Slots in January 2003.

Spin-A-Lot advertisement written by Acres Gaming Incorporated, published prior to 2001.

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Top Dollar Game advertisement written by IGT, published in 1998.

Wheel of Fortune advertisement written by IGT, published in 1998.

Wheel of Fortune advertisement written by IGT, published in 1999.

Wheel of Fortune Slots S2000 Series advertisement, published in 2002.

Wheel of Fortune Video Slots advertisement on the website
http://www.igt.com/games/new_games/wheel.html, printed March 21, 2001.

Yahtzee Bonus advertisement written by Mikohn, published in 1999.

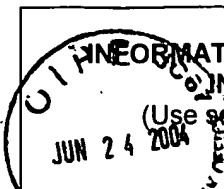
DE 38 04 862 A, DE 38 11 301 A, DE 44 02 800 A are submitted without a translation. These references are submitted herewith because they were cited in a Search Report conducted in a foreign application corresponding to the parent application of the present application. If the Examiner needs translations, Applicants respectfully request the Examiner contact the undersigned.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia
Reg. No. 35,602
P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4284
Dated: June 22, 2004

	INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Atty Docket No. 0114104-009	Application No. 10/661,685
	Applicant Markus Rothkranz et al.			
	Filing Date September 11, 2003		Group 3713	

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	Des. 400,597	November 3, 1998	Hedrick et al.			
	Des. 402,702	December 15 1998	Seelig et al.			
	Des. 406,865	March 16, 1999	Heidel			
	Des. 441,031 S	April 24, 2001	Seelig et al.			
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	4,732,386	March 22, 1988	Rayfiel			
	5,152,529	October 6, 1992	Okada			
	5,364,100	November 15, 1994	Ludlow et al.			
	5,395,111	March 7, 1995	Inoue			
	5,449,173	September 12, 1995	Thomas et al.			
	5,560,603	October 1, 1996	Seelig et al.			
	5,584,763	December 17, 1996	Kelly et al.			
	5,664,998	September 9, 1997	Seelig et al.			
	5,823,872	October 20, 1998	Prather et al.			
	5,823,874	October 20, 1998	Adams et al.			
	5,848,932	December 15, 1998	Adams			
	5,882,261	March 16, 1999	Adams			
	5,911,418	June 15, 1999	Adams et al.			
	5,927,714	July 27, 1999	Kaplan			
	5,976,015	November 2, 1999	Seelig et al.			
	6,004,207	December 21, 1999	Wilson, Jr. et al.			
	6,059,658	May 9, 2000	Mangano et al.			
	6,086,066	July 11, 2000	Takeuchi et al.			
	6,089,977	July 18, 2000	Bennett			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0114104-009	Application No. 10/661,685
	Applicant Markus Rothkranz et al.	
	Filing Date September 11, 2003	Group 3713

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	6,089,978	July 18, 2000	Adams et al.			
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	6,142,873	November 7, 2000	Weiss et al.			
	6,142,874	November 7, 2000	Kodachi et al.			
	6,159,098	December 12, 2000	Slomiany et al.			
	6,162,121	December 19, 2000	Morro et al.			
	6,173,955 B1	January 16, 2001	Perrie et al.			
	6,203,429 B1	March 20, 2001	Demar et al.			
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	6,227,970 B1	May 8, 2001	Shimizu et al.			
	6,251,013 B1	June 26, 2001	Bennett			
	6,254,481 B1	July 3, 2001	Jaffe			
	6,260,296 B1	July 17, 2001	Carney, Jr.			
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	6,302,790 B1	October 16, 2001	Brossard			
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	JP 08 160893 A (Abstract)	June 21, 1996	Japan				
	JP 08 215404 A (Abstract)	August 27, 1996	Japan				
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	Applicant Markus Rothkranz et al.	
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	Monster Match article written by Strictly Slots, published in January 2002.
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	Payout!™ article written by Casino Data Systems, published before September 11, 2003.
	Pick a Prize advertisement written by Acres Gaming Incorporated, published prior 2001.
	Power Slotto advertisement published by AC Coin & Slot prior to 2002.
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Examiner:	Date Considered:
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	Applicant Markus Rothkranz et al.	
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	Slots 2003 Part One article written by Melissa Raimondi, published by Strictly Slots in January 2003.
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	Yahtzee Bonus advertisement written by Mikohn, published in 1999.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	